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To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

Application Number

Filing Date

Concurrently

First Named Inventor

KELLNER ET AL

Group Art Unit

Examiner Name

Attorney Docket Number


DE 000148

30978 U.S. PTO
09/954657
09/18/01

These documents may be relevant in that they have been:

- ☐ considered in drafting the specification of the above-referenced application;
- ☒ cited in the specification of the above-referenced application;
- ☐ previously submitted or cited in U.S. patent application(s) _____ which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or
- ☐ cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed;
- ☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)	Michael E. Marion	Registration No. (Attorney/Agent)	32,266
Signature		Date	9/17/01

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Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV.7-80) PATENT AND TRADEMARK OFFICE				Atty. Docket No. DE 000148		Serial No.									
				Applicant Andreas KELLNER ET AL											
				Filing Date CONCURRENTLY		Group									
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)															
U.S. PATENT DOCUMENTS															
Ex. Int.		Document Number				Date	Name	Class	Sub- class	Filing Date If Approp.					
	AA														
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FOREIGN PATENT DOCUMENTS															
		Document Number				Date	Country	Class	Sub- class	Trans.					
										Yes No					
	AG	1	9	8	4	2	4	0	5	3/23/00	EUROPE	G10L	15/00		X
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OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)															
	AL	"The Thoughtful Elephant: Strategies for Spoken Dialog Systems" by Bernd Souvignier, Andreas Kellner, Bernd Ruber, Hauke Schramm and Frank Seide, IEEE Transactions on Speech and Audio Processing, 1/2000.													
	AM														
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Examiner							Date Considered								
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